

VALUE DISTRIBUTION THEOREMS FOR THE ESTERMANN ZETA-FUNCTION

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In the paper, a survey of mean-value estimates, zero distribution, universality and limit theorems in the sense of weak convergence of probability measures for the Estermann zeta-function is presented.

Introduction

This paper is a text of author's talk given at the International Conference "Algebra and Number Theory" dedicated to the 80th anniversary of Professor V.E.Voskresenskii. (Samara, Russia, May 21–28, 2007). The author thanks the organizers of this conference for hospitality and for financial support.

Denote by $\mathbb{N}$, $\mathbb{Z}$, $\mathbb{R}$ and $\mathbb{C}$ the sets of all positive integers, integers, real and complex numbers, respectively. For arbitrary $\alpha \in \mathbb{C}$ and $m \in \mathbb{N}$, the generalized divisor function $\sigma_\alpha(m)$ is defined by

$$\sigma_\alpha(m) = \sum_{d|m} d^\alpha.$$

We have that

$$\sigma_0(m) \ll_\varepsilon m^\varepsilon.$$

Since, $\sigma_\alpha(m) = m^\alpha \sigma_{-\alpha}(m)$, the estimate

$$\sigma_\alpha(m) \ll_\varepsilon m^{\varepsilon + \max(\operatorname{Re} \alpha, 0)} \quad (1)$$

is valid.

Let, as usual, $s = \sigma + it$ denote a complex variable, and $(k, l) = 1$. The Estermann zeta-function $E(s; \frac{k}{l}, \alpha)$, for $\sigma > \max(1 + \operatorname{Re} \alpha, 1)$, is defined by

$$E(s; \frac{k}{l}, \alpha) = \sum_{m=1}^{\infty} \frac{\sigma_\alpha(m)}{m^s} \exp\left\{2\pi i m \frac{k}{l}\right\}.$$

For analytic continuation of the function $E(s; \frac{k}{l}, \alpha)$ to the whole complex plane, we recall the definition of the Lerch zeta-function. Let $\lambda \in \mathbb{R}$ and $\beta \in \mathbb{R}, 0 < \beta \leq 1$. The Lerch zeta-function $L(\lambda, \beta, s)$, for $\sigma > 1$, is defined by

$$L(\lambda, \beta, s) = \sum_{m=0}^{\infty} \frac{e^{2\pi i m \lambda}}{(m + \beta)^s}.$$

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If $\lambda \notin \mathbb{Z}$, then $L(\lambda, \beta, s)$ is analytically continuable to an entire function, while for $\lambda \in \mathbb{Z}$, the function $L(\lambda, \beta, s)$ becomes the Hurwitz zeta-function

$$\zeta(s, \beta) = \sum_{m=0}^{\infty} \frac{1}{(m + \beta)^s}.$$

The function $\zeta(s, \beta)$ is meromorphically continuable to the whole complex plane where it has a simple pole at $s = 1$ with residue 1.

It is not difficult to see that, for $\sigma > \max(\operatorname{Re} \alpha + 1, 1)$,

$$E\left(s; \frac{k}{l}, \alpha\right) = l^{\alpha-s} \sum_{v=1}^l \exp\left(2\pi i \frac{vk}{l}\right) L\left(1, \frac{v}{l}, s - \alpha\right) L\left(\frac{vk}{l}, 1, s\right). \quad (2)$$

The latter equation shows that the function $E\left(s; \frac{k}{l}, \alpha\right)$ is analytic in the whole complex plane, except for two simple poles at $s = 1$ and $s = 1 + \alpha$ if $\alpha \neq 0$, and a double pole $s = 1$ if $\alpha = 0$.

Let $\bar{k}$ be defined by $k\bar{k} \equiv 1 \pmod{l}$. Then (2) and the functional equation for the Lerch zeta-function, see [11], imply the following functional equation for $E\left(s; \frac{k}{l}, \alpha\right)$.

$$E\left(s; \frac{k}{l}, \alpha\right) = \frac{1}{\pi} \left(\frac{2\pi}{l}\right)^{2s-1-\alpha} \Gamma(1-s) \Gamma(1+\alpha-s) \times \\ \left(\cos \frac{\pi\alpha}{2} E\left(1+\alpha-s; \frac{\bar{k}}{l}, \alpha\right) - \cos\left(\pi s - \frac{\pi\alpha}{2}\right) E\left(1+\alpha-s; \frac{\bar{k}}{l}, \alpha\right)\right).$$

Therefore, without loss of generality we may assume that $\alpha \stackrel{\text{def}}{=} \operatorname{Re} \alpha \leq 0$.

Note that the function $E\left(s; \frac{k}{l}, \alpha\right)$, for $\alpha = 0$, was introduced by T. Estermann in [3]. The case of $\alpha \in [-1, 0]$ was considered in [9].

In the lecture, we discuss the following value distribution problems for the Estermann zeta-function:

- Mean square estimates
- Zero distribution
- Universality
- Probabilistic limit theorems

1. Mean square of $E\left(s; \frac{k}{l}, \alpha\right)$

Asymptotics and estimates for mean values of zeta-functions play an important role in analytic number theory. For example, the famous Lindelöf hypothesis for the Riemann zeta-function $\zeta(s)$ which says that, for every $\varepsilon > 0$,

$$\zeta\left(\frac{1}{2} + it\right) \ll_{\varepsilon} t^{\varepsilon}, \quad t \geq t_0 > 0,$$

is equivalent to the mean value estimates

$$\frac{1}{T} \int_0^T \left| \zeta\left(\frac{1}{2} + it\right) \right|^{2k} \ll_{k, \varepsilon} T^{\varepsilon}, \quad k \in \mathbb{N}$$

There exists a conjecture that, for all $k \geq 0$ and $T \rightarrow \infty$,

$$I_k(T) \stackrel{\text{def}}{=} \frac{1}{T} \int_0^T \left| \zeta\left(\frac{1}{2} + it\right) \right|^{2k} dt \sim c(k)(\log T)^{k^2} \quad (3)$$

with some constant $c(k) > 0$. G.H. Hardy and J.E. Littlewood proved [5] that $c(1) = 1$, and A.E. Ingham found [7] the value $c(2) = \frac{1}{2\pi^2}$. Let $u \geq 0$ be bounded by a constant. Then in [10] it was obtained that $c\left(\frac{u}{\sqrt{2 \log \log T}}\right) = 1$. Of course, the conjecture (3) is very complicated.

Also, the estimates for $I_k(T)$ are known. The first results in this direction were obtained by K. Ramachandra. For example, he proved in [17] that

$$I_{\frac{1}{2}}(T) \ll T(\log T)^{\frac{1}{2}}.$$

The further progress in the field belongs to D.R. Heath-Brown. In [6], he proved the estimate

$$I_k(T) \gg_k T(\log T)^{k^2} \quad (4)$$

for all rational $k \geq 0$, and the estimate

$$I_k(T) \ll_k T(\log T)^{k^2} \quad (5)$$

for $k = \frac{1}{m}$, $m \in \mathbb{N}$. Moreover, he obtained under the Riemann hypothesis (all non-trivial zeros of $\zeta(s)$ lie on the critical line) that (4) holds for all $k \geq 0$ and (5) is true for $0 \leq k \leq 2$. To prove this, D.R. Heath-Brown applied the Gabriel convexity theorems, see, for example, [10].

For the Estermann zeta-function, the mean square was studied in [18], see also [19].

Theorem 1. For $\sigma > \frac{1}{2}$,

$$\lim_{T \rightarrow \infty} \frac{1}{T} \int_1^T \left| E\left(\sigma + it; \frac{k}{l}, \alpha\right) \right|^2 dt = \frac{\zeta(2\sigma - 2a)\zeta^2(2\sigma - a)\zeta(2\sigma)}{3(4\sigma - 2a)}.$$

Moreover, if $a < 0$, then

$$\int_1^T \left| E\left(\sigma + it, \frac{k}{l}, \alpha\right) \right|^2 dt \ll \begin{cases} T, & \text{if } \sigma > \frac{1}{2}, \\ T \log^2 T, & \text{if } \sigma = \frac{1}{2}, \\ T^{2(1-\sigma)}, & \text{if } a + \frac{1}{2} < \sigma < \frac{1}{2}, \\ T^{1-2a} \log^2 T, & \text{if } \sigma = a + \frac{1}{2}, \\ T^{3-4\sigma+2a}, & \text{if } \sigma < a + \frac{1}{2}. \end{cases}$$

For the proof, a representation of $E\left(s; \frac{k}{l}, \alpha\right)$ by Dirichlet L -functions is used. Denote by $\varphi(m)$ the Euler function,

$$\varphi(m) = m \prod_{p|m} \left(1 - \frac{1}{p}\right),$$

by $\mu(m)$ the Möbius function,

$$\mu(m) = \begin{cases} 1, & \text{if } m = 1, \\ (-1)^r, & \text{if } m = p_1 \dots p_r, p_j \text{ is prime, } j = 1, \dots, r, \\ 0, & \text{otherwise.} \end{cases}$$

Moreover, let

$$\tau(\chi) = \sum_{m \bmod q} \chi(m) \exp\left\{2\pi i \frac{m}{q}\right\}$$

be the Gauss sum associated to the Dirichlet character $\chi \bmod q$. Then, for all s ,

$$E\left(s; \frac{k}{l}, \alpha\right) = \sum_{d|l} \frac{1}{d^s \varphi\left(\frac{l}{d}\right)} \sum_{\substack{b|l \\ (b, \frac{l}{d})=1}} \mu\left(\frac{l}{db}\right) \sum_{\substack{\chi \bmod b \\ \text{primitive}}} \tau(\overline{\chi}) \chi\left(kd\left(\frac{l}{b}\right)\right) \times \\ \times \lambda(s, d, \chi, \alpha) L(s, \chi) L(s - \alpha, \chi),$$

where $L(s, \chi)$ denote a Dirichlet L -function, and $\lambda(\alpha + it, m, \chi, \alpha) \ll |\sigma_\alpha(m)|$. From this it follows that

$$\int_1^T \left| E\left(\sigma + it; \frac{k}{l}, \alpha\right) \right|^2 dt \ll \sum_{b|l} \sum_{\substack{\chi \bmod b \\ \text{primitive}}} \left(\int_1^T |L(\sigma + it, \chi)|^4 dt \int_1^T |L(\sigma - \alpha + it, \chi)|^4 dt \right)^{\frac{1}{2}},$$

and to prove Theorem 1 it remains to apply the results for the fourth moment of Dirichlet L -functions.

Y. Kamiya in [8] obtained an average mean square estimate for $E\left(s; \frac{k}{l}, \alpha\right)$. He proved that, for $A > 49$ and $T \rightarrow \infty$,

$$\sum_{\substack{k=1 \\ (k, l)=1}}^l \int_{[-T, T] \setminus [-A, A]} \left| E\left(\frac{1}{2} + it; \frac{k}{l}, 0\right) \right|^2 dt \ll l T \log^4 l T.$$

The latter estimate was improved in [20].

Theorem 2. *Uniformly for $l \leq T$ as $T \rightarrow \infty$,*

$$\frac{1}{\varphi(l)} \sum_{\substack{k=1 \\ (k, l)=1}}^l \int_1^T \left| E\left(\frac{1}{2} + it; \frac{k}{l}, 0\right) \right|^2 dt \asymp T \log^4 T.$$

If l is prime, then

$$\sum_{k=1}^{l-1} \int_1^T \left| E\left(\frac{1}{2} + it; \frac{k}{l}, 0\right) \right|^2 dt = \frac{l^5 - l^4 + 7l^3 - 11l^2 + 5l + 1}{2\pi^2(l-1)l^2(l+1)} T \log^4 T + O(T \log^3 T).$$

2. Zero distribution of $E\left(s; \frac{k}{l}, \alpha\right)$

The zero distribution of zeta-functions is one of the most interesting problems and has numerous applications. B. Riemann was the first who observed a close relation of the Riemann zeta-function to the distribution of prime numbers. In 1896 de la Vallée Poussin and Hadamard proved independently that $\zeta(1 + it) \neq 0$, and this allowed them to obtain the asymptotic law of prime numbers:

$$\pi(x) = \sum_{p \leq x} 1 \sim \int_2^x \frac{du}{\log u}, \quad x \rightarrow \infty.$$

As it was noted in Section 2, the famous Riemann hypothesis (RH) asserts that all non-trivial zeros of $\zeta(s)$ lie on the critical line $\sigma = \frac{1}{2}$. If this hypothesis is true, then

$$\pi(x) = \int_2^x \frac{du}{\log u} + O(x^{\frac{1}{2}} \log x).$$

From the latter estimate RH also follows.

The zero distribution of the function $E(s; \frac{k}{l}, \alpha)$ depends on the parameters $\frac{k}{l}$ and α . It is not difficult to see that

$$E(s; \frac{k}{l}, \alpha) \neq 0$$

for $\sigma > 3$. The functional equation for $E(s; \frac{k}{l}, \alpha)$ shows that, for $\sigma < -2 + \operatorname{Re} \alpha$, $E(s; \frac{k}{l}, \alpha) = 0$ near the real axis. Zeros $\rho = \beta + i\gamma$ of $E(s; \frac{k}{l}, \alpha)$ in this region are called trivial. It is easily seen that

$$T \ll \#\{\rho \text{ is trivial} : |\rho| \leq T\} \ll T.$$

The non-trivial zeros of $E(s; \frac{k}{l}, \alpha)$ lie in the region $\{s \in \mathbb{C} : -2 + \operatorname{Re} \alpha \leq \sigma \leq 3\}$. Denote by $N(T; \frac{k}{l}, \alpha)$ the number of non-trivial zeros of $E(s; \frac{k}{l}, \alpha)$ with $|\gamma| \leq T$. Then in [21] the following asymptotic formula has been obtained.

Theorem 3. *Let $T \rightarrow \infty$. Then*

$$N(T; \frac{k}{l}, \alpha) = \frac{2T}{\pi} \log \frac{TL}{2\pi e} + O(\log T).$$

We see that the main term in the formula for $N(T; \frac{k}{l}, \alpha)$ does not depend on the parameters k and α .

Theorem 3 is a corollary of a general result obtained in [21]. Recall that $a = \operatorname{Re} \alpha$. Let $B > 3 - a$ be a constant, and $T \rightarrow \infty$. Then

$$\sum_{\substack{\beta > -B \\ |\gamma| \leq T}} (B + \beta) = (2B + a + 1) \frac{T}{\pi} \log \frac{TL}{2\pi e} + O(\log T).$$

This and Theorem 3 imply the asymptotics for the mean value of the real parts of non-trivial zeros.

Theorem 4. [21]. *Let $T \rightarrow \infty$. Then*

$$N^{-1}(T; \frac{k}{l}, \alpha) = \sum_{\substack{\rho \text{ non-trivial} \\ |\gamma| \leq T}} \beta = \frac{a+1}{2} + O(T^{-1}).$$

Theorem 4 suggests an idea that the non-trivial zeros of $E(s; \frac{k}{l}, \alpha)$ lie on the line $\sigma = \frac{a+1}{2}$. However, if RH holds, this is not true in general. Really, by the definition of $E(s; \frac{k}{l}, \alpha)$

$$E(s; 1, \alpha) = \zeta(s)\zeta(s - \alpha). \quad (6)$$

Thus, if RH holds, then $E(s; 1, \alpha) = 0$ on the lines $\sigma = \frac{1}{2}$ and $\sigma = \frac{1}{2} + a$, and $E(s; 1, \alpha) \neq 0$ on the line $\sigma = \frac{a+1}{2}$.

Denote by $N(\sigma, T; \frac{k}{l}, \alpha)$ the number of non-trivial zeros $\rho = \beta + i\gamma$ of the function $E(s; \frac{k}{l}, \alpha)$ with $\beta > \sigma$ and $|\gamma| \leq T$. Then in [21] the following bounds for $N(\sigma, T; \frac{k}{l}, \alpha)$ were obtained.

Theorem 5. *Let $T \rightarrow \infty$. Then uniformly in $\delta > 0$*

$$N\left(\frac{1}{2} + \delta; T, \frac{k}{l}, \alpha\right) \ll \frac{T \log \log T}{\delta} \ll \frac{\log \log T}{\delta \log T} N\left(T; \frac{k}{l}, \alpha\right),$$

and, for fixed $\sigma > \frac{1}{2}$,

$$N\left(\sigma, T; \frac{k}{l}, \alpha\right) \ll T.$$

For the proof the Littlewood theorem, the Jensen formula and the Jensen inequality on convex functions are applied.

Theorem 5 shows that the set of zeros on the right of the curve

$$\sigma = \frac{1}{2} + \psi(t) \frac{\log \log t}{\log t},$$

where $\psi(t) > 0$ and $\psi(t) \rightarrow \infty$ as $t \rightarrow \infty$, has zero density in the set of all non-trivial zeros. Example (6) leads to the following conjecture.

Conjecture. *At least a positive proportion of the non-trivial zeros of $E(s; \frac{k}{l}, \alpha)$ is clustered around the lines $\sigma = \frac{1}{2}$ and $\sigma = \frac{1}{2} + a$.*

3. Universality

In [22] S.M. Voronin obtained the universality of the Riemann zeta-function. Let $0 < r < \frac{1}{4}$, and let $f(s)$ be a continuous non-vanishing function on the disc $|s| \leq r$ which is analytic in the interior of this disc. Then he proved that, for every $\varepsilon > 0$, there exists a real number $\tau = \tau(\varepsilon)$ such that

$$\max_{|s| \leq r} \left| \zeta\left(s + \frac{3}{4} + i\tau\right) - f(s) \right| < \varepsilon.$$

Later, S.M. Gonek, A. Reich, B. Bagchi, K. Matsumoto, J. Stending, R. Stending, W. Schwarz, R. Garunkštis, H. Mishou, J. Genys, V. Garbaliuskienė, H. Nagoshi, R. Macaitienė, the author and others improved and generalized the Voronin theorem. Define

$$\nu_T(\dots) = \frac{1}{T} \text{meas}\{\tau \in [0, T] : \dots\},$$

where $\text{meas}\{A\}$ denotes the Lebesgue measure of a measurable set $A \subset \mathbb{R}$, and in place of dots a condition satisfied by τ is to be written. The final version of the Voronin theorem is the following [10].

Theorem 6. *Let K be a compact subset of the strip $D_0 = \{s \in \mathbb{C} : \frac{1}{2} < \sigma < 1\}$ with connected complement, and let $f(s)$ be a continuous non-vanishing function on K which is analytic in the interior of K . Then, for every $\varepsilon > 0$,*

$$\liminf_{T \rightarrow \infty} \nu_T(\sup_{s \in K} |\zeta(s + i\tau) - f(s)| < \varepsilon) > 0.$$

The case of the function $E(s; \frac{k}{l}, \alpha)$ is more complicated, since the factor $\exp\{2\pi i m \frac{k}{l}\}$ is not multiplicative. Let χ be the Dirichlet character mod l , and, for $\sigma > 1$ (we recall that $a \leq 0$),

$$E(s; \chi, \alpha) = \sum_{m=1}^{\infty} \frac{\sigma_{\alpha}(m)}{m^s} \chi(m).$$

Thus, in the definition of $E(s; \frac{k}{l}, \alpha)$ the arithmetic function $\exp\{2\pi i m \frac{k}{l}\}$ is replaced by a multiplicative function $\chi(m)$. It turns out that $E(s; \frac{k}{l}, \alpha)$ is a linear combination of the functions $E(s; \chi, \alpha)$. For simplicity, suppose that l is a prime number.

Theorem 7. [4]. *Let l be prime. Then*

$$E(s; \frac{k}{l}, \alpha) = \frac{1}{\varphi(l)} \sum_{\substack{\chi \pmod{l} \\ \chi \neq \chi_0}} \tau(\bar{\chi}) \chi(k) E(s; \chi, \alpha) + \Lambda(s, \alpha) E(s; \chi_0, \alpha),$$

where, for $\sigma > 0$,

$$\Lambda(s, \alpha) = \begin{cases} \frac{2l - l^{1-s} - l^s}{l^s(l-1)(1-l^{-s})^2}, & \text{if } \alpha = 0, \\ \frac{l - l^{1+\alpha-s} - l^{1+2\alpha} + l^{1+2\alpha-s} - l^s + l^{\alpha+s}}{l^s(l-1)(1-l^{\alpha})(1-l^{-s})(1-l^{\alpha-s})}, & \text{otherwise.} \end{cases}$$

The statement of Theorem 7 is also valid in the opposite direction.

Theorem 8. [4]. *Let l be prime, and χ be a character mod l . Then*

$$E(s; \chi, \alpha) = \frac{1}{\tau(\bar{\chi})} \sum_{m \pmod{l}} \bar{\chi}(m) E(s; \frac{m}{l}, \alpha)$$

if $\chi \neq \chi_0$, and otherwise

$$E(s; \chi_0, \alpha) = \begin{cases} (1 - l^{-s})^2 E(s; 1, 0), & \text{if } \alpha = 0, \\ \frac{l^s - l^{s+\alpha} - 1 + l^{\alpha-s} + l^{2\alpha} - l^{2\alpha-s}}{l^s(1 - l^{\alpha})} E(s; 1, \alpha), & \text{otherwise.} \end{cases}$$

In any case,

$$E(s; \chi, \alpha) = \prod_p \left(1 - \frac{\chi(p)}{p^s}\right)^{-1} \left(1 - \frac{\chi(p)}{p^{s-\alpha}}\right)^{-1} = L(s, \chi) L(s - \alpha, \chi);$$

the Euler product representation is valid for $\sigma > \max(1 + a, 1)$ while the later formula holds for all s . If $\chi \neq \chi_0$, then $E(s; \chi, \alpha)$ is an entire function. $E(s; \chi_0, \alpha)$ has simple poles at $s = 1$ and $s = 1 + \alpha$.

The proofs of Theorems 7 and 8 are based on the following assertions. Let $(k, l) = 1$. Then

$$\exp\{2\pi i \frac{k}{l}\} = \frac{1}{\varphi(l)} \sum_{\chi \pmod{l}} \tau(\bar{\chi}) \chi(k)$$

and

$$\tau(\bar{\chi})\chi(k) = \sum_{m \pmod{l}} \bar{\chi}(m) \exp\left\{2\pi i \frac{mk}{l}\right\}.$$

Moreover,

$$\exp\left\{2\pi i \frac{k}{l}\right\} = \frac{1}{\varphi(l)} \sum_{\substack{m|l \\ (m, \frac{l}{m})=1}} \mu\left(\frac{m}{l}\right) \sum_{\substack{\chi \pmod{m} \\ \text{primitive}}} \tau(\bar{\chi})\chi\left(k\left(\frac{l}{m}\right)\right).$$

Since the function $E(s; \chi, \alpha)$ has the Euler product, a joint universality for it can be proved. Note that the first joint universality theorem for Dirichlet L -functions with pairwise non-equivalent characters was obtained by S.M. Voronin in [23].

Theorem 9. [4]. Suppose that $a < -1$, $l \geq 5$ is prime, and that, for $p = 2, 3$,

$$\sum_{m=1}^{\infty} \frac{|\sigma_{\alpha}(p^m)|}{p^{m\beta}} < 1 \quad (7)$$

with some $\beta \in (\frac{1}{2}, 1)$. For $1 \leq j \leq \varphi(l)$, let χ_j be a Dirichlet character mod l , K_j be a compact subset of the strip $D_{\beta} = \{s \in \mathbb{C} : \beta < \sigma < 1\}$ with connected complement, and let $g_j(s)$ be a continuous non-vanishing function on K_j which is analytic in the interior of K_j . Then, for every $\varepsilon > 0$,

$$\liminf_{T \rightarrow \infty} \nu_T \left(\sup_{1 \leq j \leq \varphi(l)} \sup_{s \in K_j} |E(s + i\tau; \chi_j, \alpha) - g_j(s)| < \varepsilon \right) > 0.$$

Now Theorems 7 and 9 imply the universality of the Estermann zeta-function.

Theorem 10. [10]. Suppose that $k \neq 1$, $l \neq 1$, $a < -1$, $l \geq 5$ is prime, and that, for $p = 2, 3$, (7) holds. Let K be a compact subset of the strip D_{β} with connected complement, and let $f(s)$ be a continuous function on K which is analytic in the interior of K . Then, for every $\varepsilon > 0$,

$$\liminf_{T \rightarrow \infty} \nu_T \left(\sup_{s \in K} \left| E\left(s + i\tau; \frac{k}{l}, \alpha\right) - f(s) \right| < \varepsilon \right) > 0.$$

Note that in Theorem 10, differently from Theorem 6, the function $f(s)$ is not necessarily non-vanishing on K . This difference is explained by the existence of the Euler product for $\zeta(s)$ while, for $k \neq 1$, $l \neq 1$, the function $E(s; \frac{k}{l}, \alpha)$ has not this product.

Theorem 10 gives some information on the zero distribution of the function $E(s; \frac{k}{l}, \alpha)$.

Corollary. Under the assumptions of Theorem 10, for fixed $\sigma \in (\beta, 1)$ and $T \rightarrow \infty$,

$$T \ll N\left(\sigma, T; \frac{k}{l}, \alpha\right) \ll T.$$

Moreover, the real parts of zeros of the function $E(s; \frac{k}{l}, \alpha)$ lie dense in the interval $(\beta, 1)$.

In Theorem 10, the number l is prime. However, we conjecture that the function $E(s; \frac{k}{l}, \alpha)$ is universal in the Voronin sense for all l .

4. Limit theorems

The first probabilistic result for zeta-functions was obtained by H. Bohr and B. Jessen. Let R be any closed rectangle on the complex plane with the edges parallel to the axes, and let $L_\sigma(T, R)$ denote the Jordan measure of the set

$$\{t \in [0, T] : \log \zeta(\sigma + it) \in R\}.$$

Suppose that $\sigma > 1$. Then in [1] they proved that there exists the limit

$$\lim_{T \rightarrow \infty} \frac{L_\sigma(T, R)}{T} = W_\sigma(R)$$

which depends only on σ and R . In [2] an analogous result was obtained for $\sigma > \frac{1}{2}$. Let

$$G = \{s \in \mathbb{C} : \sigma > \frac{1}{2}\} \setminus \bigcup_{s_j = \sigma_j + it_j} \{s = \sigma + it_j : \frac{1}{2} < \sigma < \sigma_j\},$$

where s_j runs through all zeros of $\zeta(s)$ in the region $\frac{1}{2} < \sigma < 1$. Denote by $L_{1,\sigma}(T, R)$ the Jordan measure of the set

$$\{t \in [0, T] : \sigma + it \in G, \log \zeta(\sigma + it) \in R\}.$$

Then in [2] H. Bohr and B. Jessen proved that there exists the limit

$$\lim_{T \rightarrow \infty} \frac{L_{1,\sigma}(T, R)}{T} = W_{1,\sigma}(R)$$

which depends only on σ and R . For the proof of the above results the theory of sums of convex curves was used.

K. Matsumoto estimated [15], [16] the rate of convergence in Bohr-Jessen's theorems.

Bohr-Jessen's ideas were developed by A. Wintner, V. Borchsenius, A. Selberg, P.D.T.A. Elliott, A. Ghosh, B. Bagchi, K. Matsumoto, J. Steuding, W. Schwarz, R. Kačinskaitė, R. Šleževičienė-Steuding, J. Genys, R. Macaitienė, V. Garbaliuskienė, the author and others.

The modern version of Bohr-Jessen's results can be stated in the following form. Let $\mathcal{B}(S)$ stand for the class of Borel sets of the space S , and let P_n and P , $n \in \mathbb{N}$, be probability measures on $(S, \mathcal{B}(S))$. We recall that P_n converges weakly to P as $n \rightarrow \infty$ if, for every real continuous bounded function f on S ,

$$\lim_{n \rightarrow \infty} \int_S f dP_n = \int_S f dP.$$

Theorem 11. [10]. *Suppose that $\sigma > \frac{1}{2}$. Then on $(\mathbb{C}, \mathcal{B}(\mathbb{C}))$ there exists a probability measure P_σ such that the probability measure*

$$\nu_T(\zeta(\sigma + it) \in A), \quad A \in \mathcal{B}(\mathbb{C}),$$

converges weakly to P_σ as $T \rightarrow \infty$.

Note that the explicit form of the limit measure P_σ can be given. Now let $\gamma = \{s \in \mathbb{C} : |s| = 1\}$ denote the unit circle on the complex plane, and

$$\Omega = \prod_p \gamma_p,$$

where $\gamma_p = \gamma$ for each prime p . By the Tikhonov theorem, with the product topology and pointwise multiplication, the infinite-dimensional torus Ω is a compact topological Abelian group. Therefore, on $(\Omega, \mathcal{B}(\Omega))$ the probability Haar measure m_H can be defined, and this gives the probability space $(\Omega, \mathcal{B}(\Omega), m_H)$. Denote by $\omega(p)$ the projection of $\omega \in \Omega$ to the coordinate space γ_p , and put, for $m \in \mathbb{N}$,

$$\omega(p) = \sum_{p^r \parallel m} \omega^r(p),$$

where $p^r \parallel m$ means that $p^r | m$ but $p^{r+1} \nmid m$. On the probability space $(\Omega, \mathcal{B}(\Omega), m_H)$, define, for $\sigma > \frac{1}{2}$, the complex-valued random element $E(\sigma; \frac{k}{l}, \alpha, \omega)$ by

$$E(\sigma; \frac{k}{l}, \alpha, \omega) = \sum_{m=1}^{\infty} \frac{\sigma_\alpha(m) \omega(m)}{m^\sigma} \exp\{2\pi i m \frac{k}{l}\},$$

and denote by $P_{E,\sigma}^{\mathbb{C}}$ its distribution, i.e.,

$$P_{E,\sigma}^{\mathbb{C}}(A) = m_H(\omega \in \Omega : E(\sigma; \frac{k}{l}, \alpha, \omega) \in A), \quad A \in \mathcal{B}(\mathbb{C}).$$

Theorem 12. [12]. Suppose that $\sigma > \frac{1}{2}$, $a \leq 0$ and $k \neq 1, l \neq 1$. Then the probability measure

$$\nu_T(E(\sigma + i\tau; \frac{k}{l}, \alpha) \in A), \quad A \in \mathcal{B}(\mathbb{C}),$$

converges weakly to $P_{E,\sigma}^{\mathbb{C}}$ as $T \rightarrow \infty$.

Theorem 12 admits a joint generalization. Let, for $\sigma > \max(1, 1 + \operatorname{Re} \alpha_j)$, $(k_j, l_j) = 1$,

$$E(s; \frac{k_j}{l_j}, \alpha_j) = \sum_{m=1}^{\infty} \frac{\sigma_{\alpha_j}(m)}{m^s} \exp\{2\pi i m \frac{k_j}{l_j}\}, \quad j = 1, \dots, r.$$

Denote

$$\mathbb{C}^r = \underbrace{\mathbb{C} \times \dots \times \mathbb{C}}_r.$$

Suppose that $\alpha_j \leq 0$, $j = 1, \dots, r$, and for $\min_{1 \leq j \leq r} \sigma_j > \frac{1}{2}$ and $\omega \in \Omega$, define

$$E(\sigma_1, \dots, \sigma_r; \omega) = (E(\sigma_1; \frac{k_1}{l_1}, \alpha_1, \omega), \dots, E(\sigma_r; \frac{k_r}{l_r}, \alpha_r, \omega)),$$

where

$$E(\sigma_j; \frac{k_j}{l_j}, \alpha_j, \omega) = \sum_{m=1}^{\infty} \frac{\sigma_{\alpha_j}(m) \omega(m)}{m^{\sigma_j}} \exp\{2\pi i m \frac{k_j}{l_j}\}, \quad j = 1, \dots, r.$$

Theorem 13. [14]. Suppose that $\min_{1 \leq j \leq r} \sigma_j > \frac{1}{2}$, $a_j \leq 0$ and $k_j \neq 1$, $l_j \neq 1$, $j = 1, \dots, r$. Then the probability measure

$$\nu_T\left(\left(E\left(\sigma_1 + i\tau; \frac{k_1}{l_1}, \alpha_1\right), \dots, E\left(\sigma_r + i\tau; \frac{k_r}{l_r}, \alpha_r\right)\right) \in A\right), A \in \mathcal{B}(\mathbb{C}^r),$$

converges weakly to the distribution of the random element $E(\sigma_1, \dots, \sigma_r; \omega)$ as $T \rightarrow \infty$.

Another generalization of Theorem 12 is a limit theorem in the space of meromorphic functions. Let $D_1 = \{s \in \mathbb{C} : \sigma > \frac{1}{2}\}$, and let $M(D_1)$ denote the space of meromorphic on D_1 functions equipped with the topology of uniform convergence on compacta. Moreover, $H(D_1)$ is the space of analytic on D_1 functions with the same topology. $H(D_1)$ is a subspace of $M(D_1)$.

On the probability space $(\Omega, \mathcal{B}(\Omega), m_H)$ define the $H(D_1)$ -valued random element

$$E\left(s; \frac{k}{l}, \alpha, \omega\right) = \sum_{m=1}^{\infty} \frac{\sigma_a(m)\omega(m)}{m^s} \exp\left\{2\pi i m \frac{k}{l}\right\},$$

and let

$$P_{E,H}(A) = m_H\left(\omega \in \Omega : E\left(s; \frac{k}{l}, \alpha, \omega\right) \in A\right), A \in \mathcal{B}(H(D)),$$

be its distribution. Then we have the following result [13].

Theorem 14. Suppose that $a \leq 0$ and $k \neq 1$, $l \neq 1$. Then the probability measure

$$\nu_T\left(E\left(s + i\tau; \frac{k}{l}, \alpha\right) \in A\right), A \in \mathcal{B}(M(D_1)),$$

converges weakly to $P_{E,H}$ as $T \rightarrow \infty$.

A joint version of Theorem 14 also can be obtained.

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НЕКОТОРЫЕ ТЕОРЕМЫ О РАСПРЕДЕЛЕНИИ ЗНАЧЕНИЙ ДЛЯ ДЗЕТА-ФУНКЦИЙ ЭСТЕРМАНА

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В работе представлен обзор следующих результатов: оценки главных значений, распределение нулей, универсальные и предельные теоремы в смысле слабой сходимости вероятностных мер дзета-функции Эстерманна.

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